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PATENT  
514413-3886

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Sprunck et al  
U.S. Serial No. : 09/899,718  
Filing Date : July 5, 2001  
For : PROMOTERS FOR GENE EXPRESSION IN  
CARYOPSES OF PLANTS  
Group Art Unit : N/A 1636

745 Fifth Avenue  
New York, New York 10151

I hereby certify that this correspondence is being  
deposited with the United States Postal Service as  
first class mail in an envelope addressed to:  
Commissioner of Patents and Trademarks,  
Washington, D.C. 20231, on March 1, 2002

William F. Lawrence, Registration No. 28,029

Name of Applicant, Assignee or  
Registered Representative

Signature

March 1, 2002

Date of Signature

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed are copies of publications the subject matters  
of which are mentioned in the specification for the Examiner's  
review:

1. Plant Molecular Biology 22, 67-82, 1993, Ainsworth  
et al, "Expression, Organisation and Structure of the genes

encoding the Waxy Protein (granule-bound starch synthase) in  
Wheat;

2. Block, Martina, "Isolierung, Charakterisierung and  
Expressionsanalysen von Stärkesynthase-Genen aus Weizen (*Triticum  
aestivum* L.);

3. AB 008794, Mol. Biol. Evol. 15, (8), 978-987,  
(1998);

4. AB 008795, Mol. Biol. Evol. 15 (8), 978-987  
(1998);

5. AJ 006294 "Antirrhinum majus promoter for waxy  
gene";

6. X07931 "Barley DNA for waxy locus encoding starch  
synthase", Nucleic Acids Research, 16, (14B), 7185-7186 (1988);

7. Mol. Gen. Genet (1991), 228; 240-248, van der Leij  
et al, "Sequence of the Structural Gene for Granule-bound Starch  
Synthase of Potato (*Solanum tuberosum* L.) and Evidence for a  
Single Point Deletion in the *amf* allele";

8. Mol. Gen. Genet. (1986), 203; 237-244, Klös gen et  
al, "Molecular Analysis of the Waxy Locus of *Zea Mays*";

9. X58453, "Potato Gene for Granule-Bound Starch  
Synthase; Mol. Gen. Genet 228, (1-2), 240-248 (1991); Plant Mol.  
Biol. 20 (1), 19-30, (1992); Plant J. 10 (6), 981-991, (1996);

10. Nucleic Acids Research, Vol. 16, Number 14, 1988,

"Structural Analysis of the *waxy* Locus from *Hordeum Vulgare*,  
Rohde et al, Accession Nos. X07931, X07932;

11. Plant Molecular Biology 16, 1099-1101, 1991, Clark  
et al, "Nucleic Sequence of a Wheat (*Triticum Aestivum* L.) cDNA  
Clone Encoding the *Waxy* Protein";

12. "Plant Molecular Biology 20, 19-30, 1992, van der  
Steege et al, "Potato Granule-Bound Starch Synthase Promoter-  
Controlled GUS Expression: Regulation of Expression After  
Transient and Stable Transformation;

13. Hirano et al, pp. 978-987, "A Single Base Change  
Altered the Regulation of the *Waxy* Gene at the  
Posttranscriptional Level During the Domestication of Rice".

We have enclosed a copy of PTO-1449 in duplicate which  
is considered part of the Information Disclosure Statement.

This Information Disclosure Statement is being submitted  
prior to receipt of a first Office Action, so no fee is deemed  
necessary. However, if a fee is required, the Examiner is hereby  
authorized to charge our Deposit Account 50-0320.

Applicant respectfully requests that the Examiner consider and make of record the documents cited herein and that a copy of Form PTO-1449 be initialed by the Examiner and returned to the undersigned.

Respectfully submitted,

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